



Docket No.: 0660-0166-0X CONT

RECEIVED

APR 05 2001

TECH CENTER 1600/2900

ATTENTION: BOX SEQUENCE
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

RE: Application Serial No.: 09/497,997
Applicant(s): Theresa TERNYNCK et al
Filing Date: February 4, 2000
For: VECTORS DERIVED FROM ANTIBODIES FOR
TRANSFERRING SUBSTANCES INTO CELLS
Group No.: 1648
Examiner: Brown
Attn: BOX SEQUENCE

SIR:

Attached hereto for filing are the following papers:

**NOTICE TO COMPLY
PRELIMINARY AMENDMENT
SEQUENCE LISTING
COMPUTER-READABLE SEQUENCE LISTING (DISKETTE)**

Our check in the amount of \$_____ is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon
Registration No. 24,618
Attorney of Record

Daniel J. Pereira, Ph.D.
Registration No. 45,518



22850

GP 1648
BOX SEQ

OBLON
SPIVAK
McCLELLAND
MAIER & NEUSTADT
P.C.

ATTORNEYS AT LAW

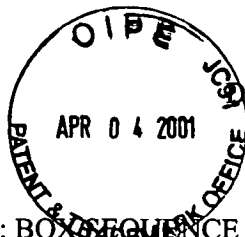
NORMAN F. OBLON
(703) 413-3000
NOBLON@OBLON.COM

DANIEL J. PEREIRA
(703) 413-3000
DPEREIRA@OBLON.COM
*REGISTERED PATENT AGENT

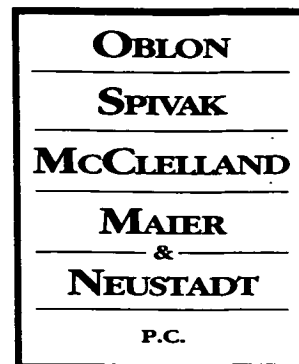
RECEIVED

APR 05 2001

TECH CENTER 1600/2900



Pocket No.: 0660-0166-0X CONT



ATTENTION: BOX SEQUENCE
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

ATTORNEYS AT LAW

NORMAN F. OBLON
(703) 413-3000
NOBLON@OBLON.COM

DANIEL J. PEREIRA
(703) 413-3000
DPEREIRA@OBLON.COM
*REGISTERED PATENT AGENT

RE: Application Serial No.: 09/497,997
Applicant(s): Theresa TERNYNCK et al
Filing Date: February 4, 2000
For: VECTORS DERIVED FROM ANTIBODIES FOR
TRANSFERRING SUBSTANCES INTO CELLS
Group No.: 1648
Examiner: Brown
Attn: BOX SEQUENCE

SIR:

Attached hereto for filing are the following papers:

**NOTICE TO COMPLY
PRELIMINARY AMENDMENT
SEQUENCE LISTING
COMPUTER-READABLE SEQUENCE LISTING (DISKETTE)**

Our check in the amount of \$_____ is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon
Registration No. 24,618
Attorney of Record

Daniel J. Pereira, Ph.D.
Registration No. 45,518



22850

Application No.: 09/497,987

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☒ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: _____

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

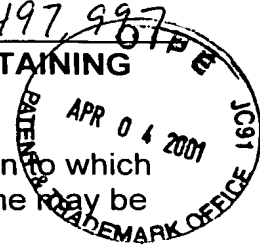
For CRF Submission Help, call (703) 308-4212

PatentIn Software Program Support (SIRA)

Technical Assistance.....703-287-0200

To Purchase PatentIn Software.....703-306-2600

PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE



TECH CENTER 1600/2000

APR 05 2001

RECEIVED